FORM D

SEC Section

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UNITED STATES JRITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR

<u> </u>

OMB APPROVAL

OMB Number:

3235-0076 April 30, 2008

Expires: Estimated average burden hours per response. 16.00

SEC USE ONLY								
Prefix		Serial						
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DATE RECEIVED								
	'	ļ						

UNIFORM LIMITED OFFERING EXEMI	PTION
Name of Offering (check if this is an amendment and name has changed, and indicate change.) MPF DEWAAY PREMIER FUND 4, LLC	
Filing Under (Check box(es) that apply): Rule 504 Rule 505 X Rule 506 Section 4(6) Type of Filing: New Filing X Amendment	ULOE
A. BASIC IDENTIFICATION DATA	
1. Enter the information requested about the issuer	
Name of Issuer (check if this is an amendment and name has changed, and indicate change.)	08048288
MPF DEWAAY PREMIER FUND 4, LLC	
Address of Executive Offices (Number and Street, City, State, ZIP Code)	Telephone Number (Including Area Code)
1640 SCHOOL STREET, MORAGA CA 94556	925-631-9100
Address of Principal Business Operations (Number and Street, City, State, ZIP Code) (if different from Executive Offices)	Telephone Number (Including Area Code)
Brief Description of Business AQUIRE AND HOLD REAL ESTATE SECURITIES PRIMARILYFOR INVESTMENT CAPITAL GAIN WHEN APPROPRIATE.	r. TRADE SUCH SECURITIES FOR
Type of Business Organization	
	ease specify):
	ED LIABILITY COMPANY
Month Year Actual or Estimated Date of Incorporation or Organization: 019 06 X Actual Estim Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State:	4
CN for Canada; FN for other foreign jurisdiction)	MAY 2 0 2008
GENERAL INSTRUCTIONS	THOMSON DELITE
Federal: Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D o 77d(6).	THOMSON REUTE r Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C.
When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering, and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given be which it is due, on the date it was mailed by United States registered or certified mail to that address.	A notice is deemed filed with the U.S. Securities blow or, if received at that address after the date on
Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 205	549.
Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually photocopies of the manually signed copy or bear typed or printed signatures.	y signed. Any copies not manually signed must be
Information Required: A new filing must contain all information requested. Amendments need only report thereto, the information requested in Part C, and any material changes from the information previously supplied not be filed with the SEC.	t the name of the issuer and offering, any changes ed in Parts A and B. Part E and the Appendix need
Filing Fee: There is no federal filing fee.	
State:	
This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for st ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Sare to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for accompany this form. This notice shall be filed in the appropriate states in accordance with state law, this notice and must be completed.	Securities Administrator in each state where sales r the exemption, a fee in the proper amount shall

SEC 1972 (6-02) WK6 K FD6015-001 54

filing of a federal notice.

appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predictated on the

5/6/2008 12:58:39 PM

, A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
Each promoter of the issuer, if the issuer has been organized within the past five years;
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the is
• Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers and
Each general and managing partner of partnership issuers.
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director X General and/or Managing Partner
MACKENZIE PATTERSON FULLER, LP
Full Name (Last name first, if individual)
1640 SCHOOL STREET MORAGA, CA 94556
Business or Residence Address (Number and Street, City, State, ZIP Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, ZIP Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, ZIP Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, ZIP Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, ZIP Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, ZIP Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, ZIP Code)
(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

					B. IN	FORMATI	ON ABOUT	OFFERIN	G				
												Yes	No
i. i	,										X		
	Answer also in Appendix, Column 2, if filing under ULOE. 2. What is the minimum investment that will be accepted from any individual?											1	
2.	What is	the minimi	ım investme	ent that w	ill be accep	ted from a	my individi	ial?			•••••••	\$30,0	
3. I	Does the	offering p	ermit joint	ownership	of a single	unit?						Yes	No □
	commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering.												
	If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such												
8	a broker or dealer, you may set forth the information for that broker or dealer only.												
	Full Name (Last name first, if individual) EPLANNING SECURITIES, INC.												
					Street, City	/ State 71	(P. Code)						
			•		ROSEVI							:	
Name	of Ass	ociated Bro	ker or Dea	ler					•				
_					ER 5 AS			NS)				 	
	States in Which Person Listed Has Solicited or Intends to Solicit Purchasers												
(Check "All States" or check individual States)										••••••	X Al	l States	
ſ.	ĀL	AK	AZ	AR	CA	CO	CT	DE	DC	FL	GA	HI	ĪD
_	IL	ĬN]	ĪA	KS	KY	LA	ME	MD	MA	MI	MN	MS	MO
_	MT	NE	NV	NH	NJ	NM	NY	NC	ND	OH	OK	OR	PA
	RI	SC	SD	TN	TX	ÜT	VT	VA	WA	WV	WI	WY	PR
Full N	Full Name (Last name first, if individual)												
DEWAAY FINANCIAL NETWORK, INC.													
	Business or Residence Address (Number and Street, City, State, ZIP Code)												
	13001 UNIVERSITY AVENUE. CLIVE IA 50325 Name of Associated Broker or Dealer												
					. (OVER	5 ASSC	CIATED	PERSONS	5)				
States	in Wh	ich Person	Listed Has	Solicited	or Intends t	o Solicit I	urchasers					,	
((Check '	'All States"	or check i	ndividual	States)	•••••		***************************************	***************************************			□ Ai	1 States
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Full Name (Last name first, if individual)													
Busin	ess or I	Residence A	ddress (Nu	mber and	Street, City	, State, Z	IP Code)			<u> </u>			
	<u> </u>												
Name of Associated Broker or Dealer													
States in Which Person Listed Has Solicited or Intends to Solicit Purchasers													
(Check "All States" or check individual States)									l States				
_	AL	AK	ΑZ	AR	CA	CO	CT	DE	DC	FL	GA	ĦI	ID
	IL NOT	NE ·	IA	KS	ΚŸ	LA	ME	MD	MA	MI	MN	MS	MO
	MT RI	NE SC	NV SD	NH TN	NJ TX	NM UT	NY VT	NC VA	ND WA	OH WV	OK Wil	OR WY	PA DD
L		اعدا	50	لتنشا	1.7	01	<u> </u>	17.	** ***	[AA A]	WI	<u> </u>	PR

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

C.	OFFERING	PRICE,	NUMBER	OF	INVESTORS.	EXPENSES	AND	USE	OF	PROCEEDS

1.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if the answer is "none" or "zero." If the transaction is an exchange offering, check this box \square and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.	A	
	Type of Security	Aggregate Offering Price	Amount Already Sold
	Debt	s	\$
	Equity	s	\$
	☐ Common ☐ Preferred		
	Convertible Securities (including warrants)	\$	\$
	Partnership Interests	\$	\$
	Other (Specify LLC INTERESTS)	\$ 3,750,000.	\$ 3,318,000.
	Total	\$_3,750,000.	\$3,318,000.
	Answer also in Appendix, Column 3, if filing under ULOE.		
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."		Aggregate
		Number Investors	Dollar Amount of Purchases
	Accredited Investors	74	\$ 2,558,000.
	Non-accredited Investors	25	\$ 760,000.
	Total (for filings under Rule 504 only)	99	\$3,318,000.
	Answer also in Appendix, Column 4, if filing under ULOE.		1
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C — Question 1.		
	Type of Offering	Type of Security	Dollar Amount Sold
	Rule 505	-	'\$
	Regulation A		'S
	Rule 504		\$ \$
	Total		1
			\$0.
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the insurer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
	Transfer Agent's Fees		\$ 0.
	Printing and Engraving Costs	X	\$ 2,000.
	Legal Fees	X	\$ 5,000.
	Accounting Fees		\$ 0.
	Engineering Fees		\$ 0.
	Sales Commissions (specify finders' fees separately)		\$ 265,440.
	Other Expenses (identify) PORTFOLIO STRUCTURING LORGANIZATION		\$ 199,080.
	Total		\$ 471,520.
			1

Property of the property of th	d total expenses furnished in response to Part occeds to the issuer."	C — Question 4.a. This difference is ss proceed to the issuer used or prop for any purpose is not known, furniotal of the payments listed must equal of Part C — Question 4.b above. f machinery facilities e value of securities involved in the assets or securities of another	rosed to be used for ish an estimate and all the adjusted gross Payments to Officers, Directors, & Affiliates \$	Payments to Others \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$
eze ch pri Sa Pri ar C A off is R	ch of the purposes shown. If the amount is eck the box to the left of the estimate. The to occeds to the issuer set forth in response to occeds to the issuer set forth in response to occeds to the issuer set forth in response to occeds to the issuer set forth in response to occeds and fees	for any purpose is not known, furniotal of the payments listed must equal of Part C — Question 4.b above. f machinery facilities e value of securities involved in the assets or securities of another	Payments to Officers, Directors, & Affiliates S S S S S S S S S S S S S S S S S S	Others \$ \$
Property Pro	rchase of real estate	f machinery facilities e value of securities involved in the assets or securities of another	Officers, Directors, & Affiliates \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	Others \$ \$
Property Pro	rchase of real estate	f machinery facilities e value of securities involved in the assets or securities of another	s s s s	s
Prince are C A of is R W	rchase, rental or leasing and installation of dequipment	f machinery facilities e value of securities involved in the assets or securities of another	sis SS	□ s □ s □ s
ar C A of is R	d equipment	facilitiesee value of securities involved in the assets or securities of another	sis SS	□ s
A of is R W	equisition of other businesses (including the fering that may be used in exchange for the uer pursuant to a merger)	e value of securities involved in the assets or securities of another	nis \$	s
of is R W	fering that may be used in exchange for the uer pursuant to a merger)	e assets or securities of another	ss	- <u> </u>
W	orking capital		🔲 \$	□ \$
	_ ·			
o _	TABLECOMMENT IN COOK			s
_	her (specify): INVESTMENT IN SEC	JRITIES	🗆 \$	X \$ 3,278,480.
_			s	_ 🗆 s
С	lumn Totals			\$ 3,278,480.
T	tal Payments Listed (column totals added)			3,278,480.
		D. FEDERAL SIGNATUI	RE	
ignatu	uer has duly caused this notice to be signed re constitutes an undertaking by the issuer to ormation furnished by the issuer to any no	to furnish to the U.S. Securities and	Exchange Commission, upon writte	ule 505, the followin
ssuer	Print or Type)	Signature	Date	1
MPF	DEWAAY PREMIER FUND 4, LLC	le mos	05/06/2008	
Vame	of Signer (Print or Type)	Title of Signer (Print or Type))	
JEN	MOSER	VICE PRESIDENT OF THE	E MANAGER, MACKENZIE PATTE	RSON FULLER, LE

- ATTENTION -

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

JEN MOSER

		E. STATE SIGNATURE		
1.	Is any party described in 17 CFR 230.262 provisions of such rule?			No 🔀
	s	ee Appendix, Column 5, for state res	ponse.	
2.	The undersigned issuer hereby undertakes to D (17 CFR 239.500) at such times as requ		any state in which this notice is filed a n	otice on Form
3.	The undersigned issuer hereby undertakes issuer to offerees.	to furnish to the state administrators	, upon written request, information fun	nished by the
4.	The undersigned issuer represents that the limited Offering Exemption (ULOE) of the of this exemption has the burden of establishments.	state in which this notice is filed and	d understands that the issuer claiming th	
	uer has read this notification and knows the conthorized person.	ntents to be true and has duly caused th	his notice to be signed on its behalf by the	undersigned
Issuer (Print or Type)	Signature	Date	
MPF D	DEWAAY PREMIER FUND 4, LLC	In Mos	05/06/2008	
Name (Print or Type)	Title (Print or Type)	•	

VICE PRESIDENT OF THE MANAGER, MACKENZIE PATTERSON FULER, LP

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

APPENDIX

1	. 2	2		3			4		Disqua	5 lification
	Intend to non-ac investors (Part B-	ccredited in State	and ag offeri offere	f security ggregate ng price d in state C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)			Disqualification under State ULOE (if yes, attach explanation of waiver granted) (Part E-Item 1)	
State	Yes	No			Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
AL	х		LLC UNITS	3,750,000.	0	0.	1	25,000.	ļ	х
AK					0	0.	0	0.		х
AZ										
AR	х		LLC UNITS	3,750,000.	1	40,000.	0	0.	! !	х
CA	х		LLC UNITS	3,750,000.	1	65,000.	0	0.	<u>l</u>	х
СО	х		LLC UNITS	3,750,000.	3	80,000.	0	0.	<u> </u>	Х
СТ				· · · · · · · · · · · · · · · · · · ·						
DE									1	
DC								- ·		
FL	х		LLC UNITS	3,750,000.	4	85,000.	0	0.	- - †	
GA	х		LLC UNITS	3,750,000.	1	35,000.	0	0.		х
НІ									j	
ID									-	
IL	х		LLC UNITS	3,750,000.	1	40,000.	1	30,000.	1	х
IN	х		LLC UNTIS	3,750,000.	1	25,000.	0	0.		Х
IA	х		LLC UNITS	3,750,000.	48	1,648,000.	22	680,000.		Х
KS										<u> </u>
KY	-							-	!	
LA										
ME									. !	
MD					<u> </u>				 	
MA									1	
MI	х		LLC UNITS	3,750,000.	3	80,000.	0	0.		Х
MN			-							
MS									<u> </u>	

APPENDIX 2 3 4 1 Disqualification under State ULOE Type of security and aggregate (if yes, attach Intend to sell offering price Type of investor and explanation of to non-accredited offered in state amount purchased in State waiver granted) investors in State (Part E-Item 1) (Part B-Item 1) (Part C-Item 1) (Part C-Item 2) Number of Number of Accredited Non-Accredited Yes **Investors Investors** Amount No Yes No State Amount Х Х 0 Ο. MO 1 30,000. LLC UNITS 3,750,000. MT0 Х NE Х 3 0. LLC UNITS 3,750,000 105,000. NV Х 0 0. 1 25,000. Х LLC UNITS 3,750,000. NH NJ NM Х 1 50,000. 0 Ο. Х LLC UNITS 3,750,000 NY NC ND 0 Ο. X Х 1 35,000. LLC UNITS 3,750,000. OH OK 0 0. Х OR Х 1 50,000. LLC UNITS 3,750,000 PA Х 1 0 0. Х 25,000. LLC UNITS 3,750,000. RISC SD TN 0 Ο. Х TX2 X 130,000. LLC UNITS 3,750,000 UT VT VA WA wv WI X 0 LLC UNITS 1 Ο. Х 35,000. 3,750,000

	APPENDIX											
1	. 2		3 Type of security		4							
	Intend to non-ac investors (Part B-	ccredited	and aggregate offering price offered in state (Part C-Item 1)	Type of investor and amount purchased in State (Part C-Item 2)			amount pur		amount purchased in State		explan waiver	, attach ation of granted) -Item 1)
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No			
WY		; 	,					j				
PR								1				

Form U-2

Form U-2 Uniform Consent to Service of Process

KNOW ALL MEN BY THESE PRESENTS:

Th	at the undersigned MPF DE	WAAY PREMIER FU	ND 4, LLC
	ration), (a partnership), a (LLC) or		
tates indic ppoints the n those Staroceeding foresaid la roceeding ne States s ffect as if	Aividual), [strike out inapplicable nomenclate attended hereunder relating to either the registrate officers of the States so designated hereunders so designated upon whom may be served against it arising out of, or in connection with two of the States so designated; and the under against it may be commenced in any court so designated hereunder by service of process the undersigned was organized or created up the process in that State.	der and their successed any notice, process of the sale of securities of competent jurisdicts upon the officers so	ies, hereby irrevocably ors in such offices, its attorney or pleading in any action or es or out of violation of the consent that any such action or tion and proper venue within o designated with the same
	It is requested that a copy of any notice, process JEN MOSER C/O MACKENZIE		
	(Nam		- ^
-	1640 SCHOOL STREET (Addr	· · · · · · · · · · · · · · · · · · ·	
	" before the names of all the States for which the ach State as its attorney in that State for receipt of		orm is appointing the designated
_x_AL	Secretary of State	<u>x</u> FL	Dept. of Banking and Finance
AK	Administrator of the Division of Banking and Corporations, Department of Commerce and Economic Development	<u>x</u> GA	Commissioner of Securities
AZ	The Corporation Commission	GUAM	Administrator, Department of Finance
x_AR	The Securities Commissioner	HI	Commissioner of Securities
<u>x</u> CA	Commissioner of Corporations	ID	Director, Department of Finance
<u>x</u> _CO	Securities Commissioner	<u>x</u> IL	Secretary of State
CT	Banking Commissioner	<u>x</u> IN	Secretary of State
DE	Securities Commissioner	<u>x</u> IA	Commissioner of Insurance
DC	Dept. of Insurance & Securities Regulation	KS	Secretary of State
KY	Director, Division of Securities	ОН	Secretary of State
X LA	Commissioner of Securities	x OR	Director, Department of

Insurance and Finance

ME	Administrator, Securities Division	OK	Securities Administrator
MD	Commissioner of the Division of Securities	<u>x</u> PA	Pennsylvania does not require filing of a Consent to Service of Process
MA	Secretary of State	PR	Commissioner of Financial Institutions
<u>x</u> MI	Commissioner, Office of Financial and Insurance Services	RI	Director of Business Regulation
MN	Commissioner of Commerce	sc	Securities Commissioner
MS	Secretary of State	SD	Director of the Division of Securities
<u>x</u> MO	Securities Commissioner	TN	Commissioner of Commerce and Insurance
MT	State Auditor and Commissioner of Insurance	<u>X</u> TX	Securities Commissioner
<u>x</u> NE	Director of Banking and Finance	UT	Director, Division of Securities
<u>X</u> NV	Secretary of State	VT	Commissioner of Banking, Insurance, Securities & Health Administration
NH	Secretary of State	VA	Clerk, State Corporation Commission
NJ	Chief, Securities Bureau	WA	Director of the Department of Licensing
<u>x</u> _NM	Director, Securities Division	wv	Commissioner of Securities
NY	Secretary of State	_x_WI	Department of Financial Institutions, Division of Securities
NC	Secretary of State	WY	Secretary of State
<u>x</u> ND	Securities Commissioner		
Dated this(SEAL)	6th day ofBy JEN NOSER	May May	, 20 08
	VICE (PRESIDENT O	F MACKENZIE PATT	ERSON FULLER, LP - MANAGER

CORPORATE ACKNOWLEDGMENT

State or Province of) ss.)		
On this	day of	_ , 20	before me	the
	ersonally appeared	<u> </u>		known
personally to me to be	the (Title)	of the	above named corpora	tion and
acknowledged that he,	as an officer being autho	rized so to	do, executed the forego	oing instrument for
the purposes therein co	ntained, by signing the n	ame of the	corporation by himself	f as an officer.
IN WITNESS WHERE	OF I have hereunto set 1			oner of Ooth
	Notary Public/Commissioner of Oath My Commission Expires			
State or Province of) ss.)		
On this	day ofr, personally appeared _	, 20	, before me,	
the undersigned officer	r, personally appeared _			to me personally
	ne to be the same person(
instrument, and acknow	vledged the execution the	ereof for the	e uses and purposes the	erein set forth.
In WITNESS WHERE	OF I have hereunto set n	ny hand and	d official seal.	
		Not	ary Public/Commission	oner of Oaths
		Му	Commission Expires	
(SEAL)				

3

ACKNOWLEDGMENT State of California Contra Costa County of Karen M. LaMonte, Notary Public On May 6, 2008 before me. (insert name and title of the officer) Jen Moser personally appeared who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the pers on(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct. KAREN M. LAMONTE Commission # 1706159 WITNESS my hand and official seal. Notary Public - California San Joaquin County MyComm. Expires Dec 10, 2010

Signature Karen M. Lamorte (Seal)

END